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jhc 857 U.S. PTO

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A

SENNIGER, POWERS, LEAVITT & ROEDEL  
ATTORNEYS AT LAW

FRANK R. AGOVINO  
DERICK E. ALLEN  
ROBERT M. BAIN  
JAMES J. BARTA, JR.  
G. HARLEY BLOSSER  
JOHN M. BODENHAUSEN  
RICHARD L. BRIDGE  
SARAH J. CHICKOS  
J. BENNETT CLARK  
JENNIFER E. COOK  
DAVID E. CRAWFORD, JR.  
MATTHEW L. CUTLER  
JAMES E. DAVIS  
KATHRYN J. DOTY  
ROBERT M. EVANS, JR.  
PAUL T.J. FLEISCHUT  
MICHAEL E. GODAR  
CHRISTOPHER M. GOFF  
DAVID W. HARLAN  
JAMES D. HARPER

EDWARD J. HEJLEK  
KAREN Y. HUI  
KURT F. JAMES  
VINCENT M. KEIL  
ANTHONY R. KINNEY  
BRIAN P. KLEIN  
WILLIAM E. LAHEY  
JULIE J. McMURRY  
MICHAEL G. MUNSELL  
DEBRA D. NYE  
WILLIAM D. O'NEILL  
KATHLEEN M. PETRILLO  
LAURA R. POLCYN  
KEITH A. RABENBERG  
STEVEN M. RITCHIE  
JOHN K. ROEDEL, JR.  
RICHARD A. SCHUTH  
MICHAEL J. THOMAS  
DONALD W. TUEGEL  
JACOB S. WHARTON

ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST. LOUIS, MISSOURI 63102  
314-231-5400

FACSIMILE 314-231-4342  
<http://www.senniger.com>

PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
IRVING POWERS  
DONALD G. LEAVITT  
RICHARD G. HEYWOOD

STUART N. SENNIGER  
(1921-1997)

October 5, 2001

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: LELI 3442

First Named Inventor: Jefferson YS Yang

Title: DIAPHRAGM PUMP AND ANODE STREAM RECIRCULATION SYSTEM  
USING SUCH PUMP FOR A FUEL CELL

Express Mail Label Number: EL 890728012 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**APPLICATION ELEMENTS**

1.  Fee Transmittal Form  
(original and duplicate)
2.  Applicant claims small entity status
3.  Specification [Total Pages 14]
4.  Drawings [Total Sheets 5]
5. Oath or Declaration [Total Pages 4]
  - a.  Newly executed (original or copy)  
 New (unexecuted)
  - b.  Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i.  DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

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09/972606  
10/05/01

6. [ ] Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. [X] Application Data Sheet
8. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. [ ] Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. [ ] Computer Readable Form
- b. [ ] Specification Sequence Listing on:  
i. [ ] CD-ROM or CD-R (2 copies); or  
ii. [ ] paper
- c. [ ] Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. [X] Assignment Papers (cover sheet & document(s))
11. [ ] 37 CFR 3.73(b) Statement [ ] Power of Attorney
12. [ ] English Translation Document (if applicable)
13. [ ] IDS with PTO-1449 [ ] Copies of IDS Citations
14. [ ] Preliminary Amendment
15. [X] Return Receipt Postcard
16. [ ] Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. [ ] Certified Copy of Priority Document(s) if foreign priority is claimed
18. [ ] Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. [ ] Continuation [ ] Divisional [ ] Continuation-in-Part of prior application No.: \_\_\_\_\_  
[ ] Complete Application based on provisional Application No.: \_\_\_\_\_

Prior application information: Examiner:  
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Kurt F. James

Respectfully submitted,

A handwritten signature in black ink that reads "Kurt F. James". The signature is fluid and cursive, with "Kurt" on top and "F. James" below it.

Kurt F. James, Reg. No. 33,716

KFJ/dss

**FEE TRANSMITTAL**

Application Number to be assigned  
Filing Date October 5, 2001  
Inventor(s) Jefferson YS Yang  
Attorney Docket Number LELI 3442

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- Applicant claims small entity status.
2.  Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1.  BASIC FILING FEE Subtotal (1) \$ 370.00  
(Type: Utility)
2.  EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
  
Total Claims 15  
Independent Claims 2  
Multiple Dependent Claims \_\_\_\_\_
3.  ADDITIONAL FEES Subtotal (3) \$ 40.00  
  
 Surcharge - late filing fee or oath  
 Surcharge - late provisional filing fee or cover sheet  
 Extension for reply within \_\_\_\_\_ month  
 Notice of Appeal  
 Filing a Brief in Support of an appeal  
 Request for ex parte Reexamination  
 Petitions to the Commissioner  
 Submission of Information Disclosure Statement  
 Recording each patent assignment per property  
 Request for Continued Examination  
 Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 410.00

Kurt F. James October 5, 2001  
Kurt F. James Reg. No. 33,716 Date

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